



Interested in learning
more about security?

SANS Institute InfoSec Reading Room

This paper is from the SANS Institute Reading Room site. Reposting is not permitted without express written permission.

Business Continuity Planning Concept of Operations

BCP Command Structure Business Continuity Planning (BCP) is a program that assesses the existing operations, risks, and customer relationships of an organization for the development of organizational preparedness. BCP develops an integrated approach to ensuring that critical processes continue to function during and after a disaster or incident that interrupts the operation of the organization.

Copyright SANS Institute
Author Retains Full Rights

AD

DEEPARMOR®

BUSINESS CONTINUITY PLANNING CONCEPT OF OPERATIONS

BCP Command Structure

Business Continuity Planning (BCP) is a program that assesses the existing operations, risks, and customer relationships of an organization for the development of organizational preparedness. BCP develops an integrated approach to ensuring that critical processes continue to function during and after a disaster or incident that interrupts the operation of the organization. The Homeland Security national incident management system (NIMS) incident command system (ICS) provides the basis for this BCP command structure.

The BCP command structure is designed to benefit the operational environment with coordinated emergency management (EM), IT disaster recovery (ITDR), and continuity of operations planning (COOP) BCP elements. Roles have been assigned as they pertain to executive management and decision makers. Note that the infrastructure support function has been identified as a specific section because of the core services provided to keep the organization in operation. Similarly, finance and administration and line operations functional areas have been added because of critical importance at a program level. BCP / COOP, IT support and EM program offices are also included to illustrate the ongoing effort needed to sustain BCP program viability. The BCP command structure is illustrated in figure 1.

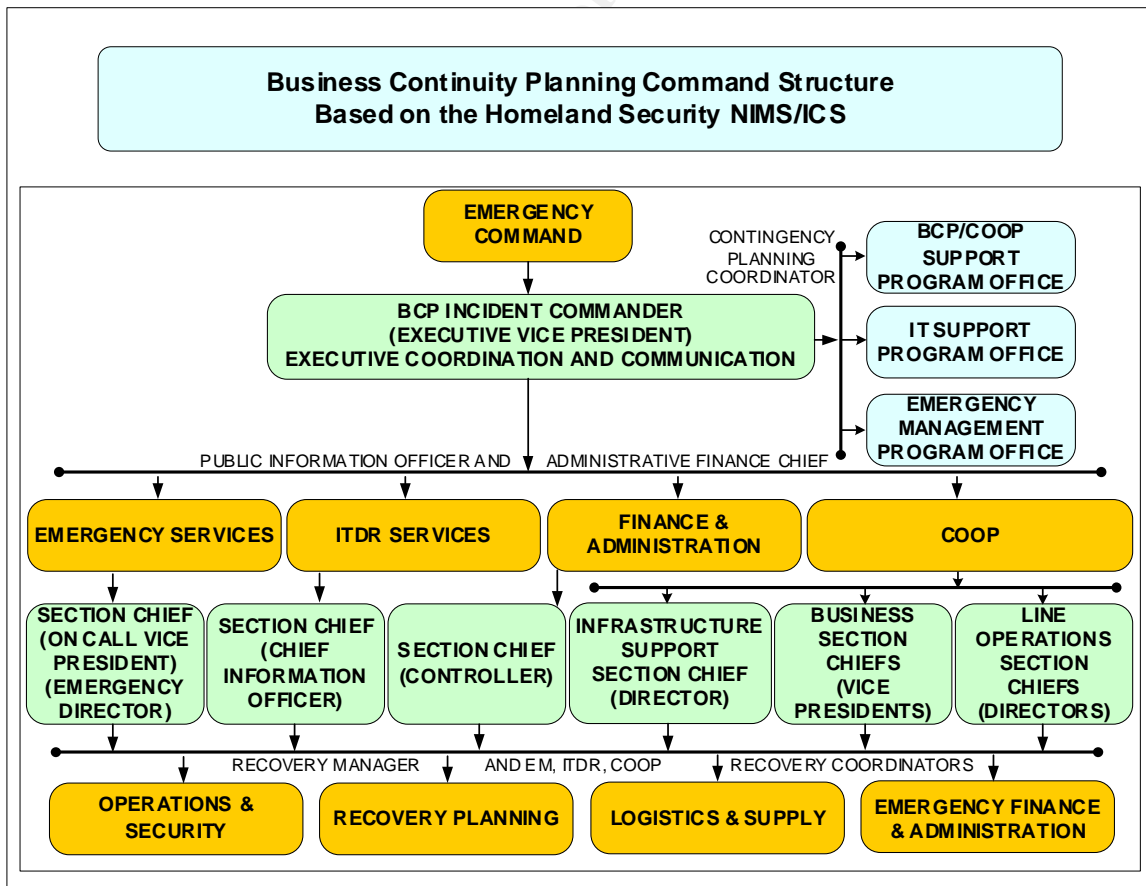


Figure 1: BCP Command Structure

BUSINESS CONTINUITY PLANNING CONCEPT OF OPERATIONS

BCP Roles

- Emergency Incident Commander (EIC) - The EIC is responsible for on-site field emergency operations until threats and hazards to people, facilities and the environment are terminated.
- Public Information Officer (PIO) – The PIO is responsible for public relations communication.
- Administrative Finance Chief (AFC) – The AFC is responsible for overall coordination of emergency funding and cost collection.
- Emergency Director (ED) – The ED is responsible for all emergency operations coordination and communications and doubles as the emergency management section chief. The ED calls for BCP activation and declares that normal operations may resume upon BCP termination.
- BCP Incident Commander (BCP IC) - The BCP IC is responsible for overall BCP coordination and communications. The BCP IC declares BCP termination.
- Section Chief (SC) – An SC is responsible for coordination of area activities and reporting to the ED and BCP IC any issues that require higher level attention
- Recovery Manager (RM) – The RM is responsible for all mission recovery coordination, which includes the restoration of support services needed to perform mission during BCP operations and full recovery to normal operations
- Recovery Coordinator (RC) An RC is responsible for supporting the RM by facilitating the resumption and recovery of EM, ITDR and COOP BCP elements
- Contingency Planning Coordinator (CPC) – The CPC is responsible for overall coordination of COOP planning to ensure consistency in development and provide resources to support implementation across the organization.

This functional model for BCP is considered to be a distributed solution that provides responsiveness in any situation and allows individuals to solve the problems at hand. The majority of recovery work will be done by operations teams under the direction of the section chiefs. The BCP command structure is intended to facilitate consistency in approach and communications. Each incident is unique and requires evaluation of vulnerabilities and threats to determine appropriate action. Such a distributed solution will maximize value and provide dynamic response in the worst of times.

Figure 2 illustrates the coordination and overlap of EM and BCP facilitated through consistent command, public and internal communications where vulnerabilities for each incident are examined and BCP activation is called for by the emergency director when organizational operation is threatened. Note that appropriate levels of physical and cyber security must be maintained throughout the BCP life cycle.

BUSINESS CONTINUITY PLANNING CONCEPT OF OPERATIONS

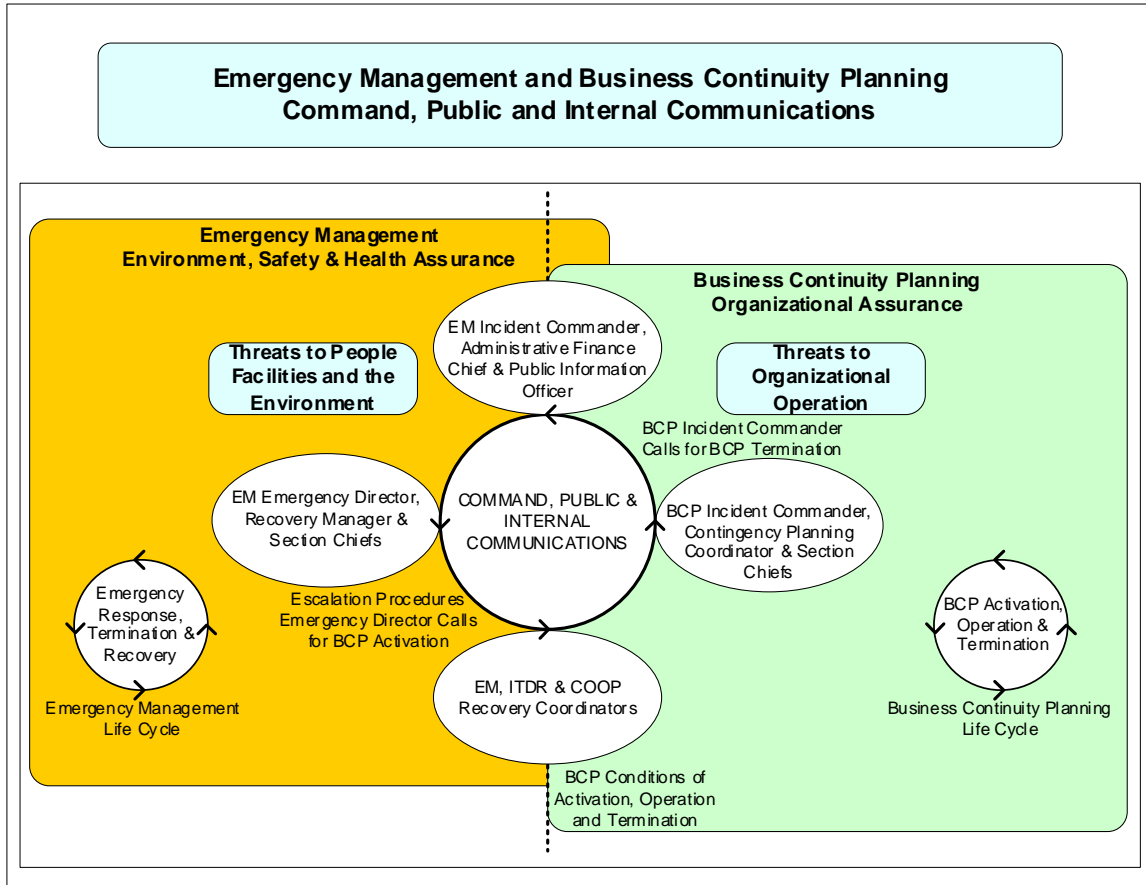


Figure 2: EM and BCP Command, Public and Internal Communications

BCP Conditions of Activation, Operation and Termination

Emergency operations have established methodologies for emergency response rooted in the NIMS / ICS. These include roles and activities that define initial emergency response (activation phase), resolution of the emergency situation (termination phase) and return to normal operations (recovery phase). BCP activation will work in-kind with EM, meaning that the emergency director will have authority of control for the BCP activation and operation phases for all operations of the organization. The emergency incident commander will work with the emergency director and section chiefs to manage initial response through to the termination of the emergency situation. The emergency situation is terminated when threats and hazards to people, facilities and the environment are controlled and a safe environment is restored. Upon emergency director declaration of BCP activation, the BCP incident commander coordinates BCP operation with the section chiefs and the EM recovery team (recovery manager and recovery coordinators).

BCP Conditions of Activation

BCP activation is triggered when an incident is determined to threaten mission operations. Threats to mission operations include: threats to people, facilities and the environment requiring emergency response; threats to critical infrastructure that are

BUSINESS CONTINUITY PLANNING CONCEPT OF OPERATIONS

essential to the operation of the organization (facilities, energy and water utilities, information and communication networks); threats to the operability of critical processes, supply and critical partnerships.

The emergency director declares BCP activation to initiate resumption and recovery services and communication. BCP activation puts into action mission operation contingency plans in order to sustain critical processes and services.

BCP Conditions of Operation

BCP operations initiate upon BCP activation as contingency plans and recovery operations begin. Contingency operations run in conjunction with EM recovery operations through to completion of the BCP operations phase. Mission recovery includes the recovery of facilities, infrastructure and services required for the return to normal operations. The BCP incident commander declares that BCP operations are completed upon consensus from the emergency director, section chiefs, recovery manager and recovery coordinators.

BCP Conditions of Termination

BCP operations can be terminated when facilities, infrastructure and services are sustainable and reliable. The emergency director declares that normal operations may resume upon consensus from the BCP incident commander, section chiefs, recovery manager and recovery coordinators.

Critical Issues

BCP operations are dependent on planning, communication, coordination and security. Critical issues include:

1. Personnel Safety
2. Environmental Safety
3. Physical Security
4. Cyber Security
5. Identification of Critical personnel
6. Identification of Critical assets
7. Identification of Critical processes
8. Identification of Vital Records
9. Established Command Structure
10. Managed Command Communications
11. Managed Public Information and Safety Communications
12. Managed EM and BCP Internal Communications
13. Prioritization of Activities
14. Training, Testing and Continual Improvement
15. Timely Implementation



Upcoming SANS Training

[Click Here for a full list of all Upcoming SANS Events by Location](#)

Rocky Mountain Fall 2017	Denver, COUS	Sep 25, 2017 - Sep 30, 2017	Live Event
SANS Baltimore Fall 2017	Baltimore, MDUS	Sep 25, 2017 - Sep 30, 2017	Live Event
Data Breach Summit & Training	Chicago, ILUS	Sep 25, 2017 - Oct 02, 2017	Live Event
SANS Copenhagen 2017	Copenhagen, DK	Sep 25, 2017 - Sep 30, 2017	Live Event
SANS London September 2017	London, GB	Sep 25, 2017 - Sep 30, 2017	Live Event
SANS Oslo Autumn 2017	Oslo, NO	Oct 02, 2017 - Oct 07, 2017	Live Event
SANS DFIR Prague Summit & Training 2017	Prague, CZ	Oct 02, 2017 - Oct 08, 2017	Live Event
SANS Phoenix-Mesa 2017	Mesa, AZUS	Oct 09, 2017 - Oct 14, 2017	Live Event
SANS October Singapore 2017	Singapore, SG	Oct 09, 2017 - Oct 28, 2017	Live Event
Secure DevOps Summit & Training	Denver, COUS	Oct 10, 2017 - Oct 17, 2017	Live Event
SANS Tysons Corner Fall 2017	McLean, VAUS	Oct 14, 2017 - Oct 21, 2017	Live Event
SANS Brussels Autumn 2017	Brussels, BE	Oct 16, 2017 - Oct 21, 2017	Live Event
SANS Tokyo Autumn 2017	Tokyo, JP	Oct 16, 2017 - Oct 28, 2017	Live Event
SANS Berlin 2017	Berlin, DE	Oct 23, 2017 - Oct 28, 2017	Live Event
SANS Seattle 2017	Seattle, WAUS	Oct 30, 2017 - Nov 04, 2017	Live Event
SANS San Diego 2017	San Diego, CAUS	Oct 30, 2017 - Nov 04, 2017	Live Event
SANS Gulf Region 2017	Dubai, AE	Nov 04, 2017 - Nov 16, 2017	Live Event
SANS Miami 2017	Miami, FLUS	Nov 06, 2017 - Nov 11, 2017	Live Event
SANS Milan November 2017	Milan, IT	Nov 06, 2017 - Nov 11, 2017	Live Event
SANS Amsterdam 2017	Amsterdam, NL	Nov 06, 2017 - Nov 11, 2017	Live Event
SANS Paris November 2017	Paris, FR	Nov 13, 2017 - Nov 18, 2017	Live Event
Pen Test Hackfest Summit & Training 2017	Bethesda, MDUS	Nov 13, 2017 - Nov 20, 2017	Live Event
SANS Sydney 2017	Sydney, AU	Nov 13, 2017 - Nov 25, 2017	Live Event
SANS London November 2017	London, GB	Nov 27, 2017 - Dec 02, 2017	Live Event
SANS San Francisco Winter 2017	San Francisco, CAUS	Nov 27, 2017 - Dec 02, 2017	Live Event
SIEM & Tactical Analytics Summit & Training	Scottsdale, AZUS	Nov 28, 2017 - Dec 05, 2017	Live Event
SANS Khobar 2017	Khobar, SA	Dec 02, 2017 - Dec 07, 2017	Live Event
SANS Munich December 2017	Munich, DE	Dec 04, 2017 - Dec 09, 2017	Live Event
European Security Awareness Summit & Training 2017	London, GB	Dec 04, 2017 - Dec 07, 2017	Live Event
SANS Austin Winter 2017	Austin, TXUS	Dec 04, 2017 - Dec 09, 2017	Live Event
SANS Frankfurt 2017	Frankfurt, DE	Dec 11, 2017 - Dec 16, 2017	Live Event
SANS Bangalore 2017	Bangalore, IN	Dec 11, 2017 - Dec 16, 2017	Live Event
SANS SEC504 at Cyber Security Week 2017	OnlineNL	Sep 25, 2017 - Sep 30, 2017	Live Event
SANS OnDemand	Books & MP3s OnlyUS	Anytime	Self Paced